



A majority of *Rhodobacter sphaeroides* promoters lack a crucial RNA polymerase recognition feature, enabling coordinated transcription activation

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Using an in vitro transcription system with purified RNA polymerase (RNAP) to investigate rRNA synthesis in the photoheterotrophic α -proteobacterium *Rhodobacter sphaeroides*, we identified a surprising feature of promoters recognized by the major holoenzyme. Transcription from *R. sphaeroides* rRNA promoters was unexpectedly weak, correlating with absence of $-7T$, the very highly conserved thymine found at the last position in -10 elements of promoters in most bacterial species. Thymine substitutions for adenine at position -7 in the three rRNA promoters strongly increased intrinsic promoter activity, indicating that *R. sphaeroides* RNAP can utilize $-7T$ when present. rRNA promoters were activated by purified *R. sphaeroides* CarD, a transcription factor found in many bacterial species but not in β - and γ -proteobacteria. Overall, CarD increased the activity of 15 of 16 native *R. sphaeroides* promoters tested in vitro that lacked $-7T$, whereas it had no effect on three of the four native promoters that contained $-7T$. Genome-wide bioinformatic analysis of promoters from *R. sphaeroides* and two other α -proteobacterial species indicated that 30 to 43% contained $-7T$, whereas 90 to 99% of promoters from non- α -proteobacteria contained $-7T$. Thus, promoters lacking $-7T$ appear to be widespread in α -proteobacteria and may have evolved away from consensus to enable their coordinated regulation by transcription factors like CarD. We observed a strong reduction in *R. sphaeroides* CarD levels when cells enter stationary phase, suggesting that reduced activation by CarD may contribute to inhibition of rRNA transcription when cells enter stationary phase, the stage of growth when bacterial ribosome synthesis declines.

CarD | RNA polymerase | -10 element | *Rhodobacter sphaeroides* | promoter

The α -proteobacteria are a Gram-negative, metabolically diverse, biotechnologically important class of bacteria. Although regulation of transcription has been well characterized in the γ -proteobacterium *Escherichia coli*, much less is known about transcription and its regulation in α -proteobacteria or in most other bacterial classes and phyla. *Rhodobacter sphaeroides* is a purple nonsulfur α -proteobacterium capable of aerobic and anaerobic respiration, photosynthesis, and CO_2 and N_2 , as well as H_2 and polyhydroxybutyrate, production (1, 2). Aerobic growth is similar to that of other Gram-negative bacteria. However, when oxygen levels decline, *R. sphaeroides* switches to photosynthetic growth, completely remodeling its intracellular membrane and creating pigments necessary to capture light energy (3). In addition to its use as a photosynthetic α -proteobacterial model system, *R. sphaeroides* has also been studied extensively for its potential in bio-based production of fuels and chemicals (1, 4, 5). A better understanding of its basic transcription properties would improve the usefulness of *R. sphaeroides* for bioproduction as well as our understanding of α -proteobacterial gene regulation in general.

In *E. coli*, the synthesis of the translation machinery is tightly regulated at the level of rRNA transcription initiation in order to

ensure a sufficient number of ribosomes to support the cellular growth rate. Study of rRNA transcription from the promoters for the seven *E. coli* rRNA operons has led to many general insights about transcription and its regulation, including the discovery that the nucleoid protein Fis is an important transcription factor (6), that the α -subunit of RNAP is a DNA-binding protein that contributes to specific promoter recognition (7), and that DksA is a transcription factor that functions in conjunction with the second messenger ppGpp to regulate large numbers of bacterial promoters (8, 9). Therefore, we focused on studying the properties of *R. sphaeroides* rRNA promoters to obtain insights about the mechanism of transcription initiation and its control in α -proteobacteria.

In contrast to *E. coli*, *R. sphaeroides* 2.4.1 has two chromosomes and only three rRNA operons, *rnaA* on chromosome 1 and *rnaB* and *rnaC* on chromosome 2. The transcription start sites for the *rnaA*, *rnaB*, and *rnaC* promoters were mapped previously by primer extension (10, 11). In several bacterial species, including *Mycobacterium tuberculosis*, *Thermus thermophilus*, and *Mycococcus xanthus*, rRNA promoters are activated in vitro by CarD, a small protein that binds to the lobe of the RNAP β -subunit and interacts with promoter DNA just upstream of the -10 hexamer (12–16). In the α -proteobacterium *Caulobacter crescentus*, the

Significance

Bacterial promoters are often predicted by similarity to the *Escherichia coli* -10 and -35 consensus elements. Although these elements are highly conserved in diverse bacterial phyla, only 30 to 43% of promoters we analyzed from *Rhodobacter sphaeroides* and two other α -proteobacteria contained $-7T$, a base in the -10 element present in 90 to 99% of promoters from non- α -proteobacteria. Expression from 15 of 16 *R. sphaeroides* promoters tested in vitro that lacked $-7T$ was very weak, but we identified an essential transcription factor, CarD, that activated all 15 of these promoters. We suggest that promoters lacking a critical base in a consensus element can shape the transcriptome by coordinating expression of large numbers of genes by a single transcription factor.

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CarD homolog localizes to rRNA promoters, and depletion decreases rRNA transcription (17). (The CarD homologs in *M. xanthus* and *C. crescentus* are sometimes referred to as CdnL.)

CarD family members are found in the *Actinomycetes*, *Firmicutes*, *Deinococcus/Thermus*, *Spirochaetes*, δ -proteobacteria, and most classes of α -proteobacteria, but are not found in β - and γ -proteobacteria (13, 14, 17). Although *carD* is essential in some species, and its depletion or deletion affects expression of many genes in *M. tuberculosis* and *C. crescentus* (12, 17–19), a direct, widespread role for CarD in transcription from non-rRNA promoters has not been demonstrated previously with purified components in vitro.

We report here that *R. sphaeroides* rRNA promoters are activated by CarD_{Rsp} in vitro, at least in part because these promoters lack a critical thymine at the last position on the nontemplate strand of the -10 hexamer ($-7T$) that is present in almost all *E. coli* promoters recognized by the major holoenzyme. The T at -7 in *E. coli* promoters fits tightly in a pocket in σ^{70} , an interaction that is critical for transcription initiation (20). Not only do *R. sphaeroides* rRNA promoters utilize CarD_{Rsp} to compensate, at least in part, for the absence of this $-7T$ interaction with σ , but we also show here that many other *R. sphaeroides* promoters are activated by CarD_{Rsp}. In fact, bioinformatic analyses indicate that the majority of promoters in *R. sphaeroides*, as well as in two other α -proteobacterial species analyzed, lack a T at the last position in the -10 element, suggesting that many promoters in α -proteobacteria may also utilize CarD to increase transcription initiation.

Results

***R. sphaeroides* rRNA Promoters Initiate Poorly In Vitro with *R. sphaeroides* RNAP.** To purify *R. sphaeroides* RNAP for analysis of regulation of *R. sphaeroides* rRNA promoters in vitro, we inserted sequences coding for a C-terminal 10 \times histidine tag into the *rpoC* gene in the *R. sphaeroides* chromosome by homologous recombination, then purified *R. sphaeroides* RNAP (RNAP_{Rsp}) by nickel-affinity chromatography (SI Appendix, Fig. S1A and Expanded Materials and Methods). The resulting purified RNAP_{Rsp} preparation contained proteins of the sizes expected for the β , β' , α , and ω subunits, as well as the major σ subunit, σ^{93} . The identity of σ^{93} was confirmed by Western blotting with a polyclonal anti-*E. coli* σ^{70} antibody that cross-reacted with *R. sphaeroides* σ^{93} (SI Appendix, Fig. S1B). Unless otherwise indicated, we use “RNAP_{Rsp}” to refer to the holoenzyme containing σ^{93} .

In vitro transcription with the three *R. sphaeroides* rRNA promoters was carried out with supercoiled plasmids containing rRNA promoter fragments (nontemplate strand sequences shown from -57 to $+1$ with respect to the transcription start sites in Fig. 1A) inserted into plasmid pRLG770 (6). Transcript lengths of ~ 200 nt were predicted based on termination at the *E. coli* *rmB* T1 terminator sequence downstream of the promoter fragment insertion site in the plasmid. Few transcripts of this size were detected from the *R. sphaeroides* *rmA*, *rmB*, and *rmC* promoters with RNAP_{Rsp} (Fig. 1B, lanes 1 to 6) or RNAP_{Eco} (Fig. 1C, lanes 1 to 6) under conditions where transcription was detected from the RNA I promoter, part of the replication control system encoded by the plasmid templates (Fig. 1B and C). In contrast, an abundant transcript of the expected size was detected in reactions containing the *E. coli* *rmB* P1 promoter and either *R. sphaeroides* or *E. coli* RNAP (Fig. 1B and C, lanes 7 and 8). RNAP_{Rsp} also produced transcripts from two mutant *E. coli* or phage promoters that were tested, *lacUV5* and *T7A1lacO34* (SI Appendix, Fig. S2), consistent with the conclusion that the lack of transcription from the *R. sphaeroides* rRNA promoters was not a result of inactivity of the purified RNAP_{Rsp} holoenzyme.

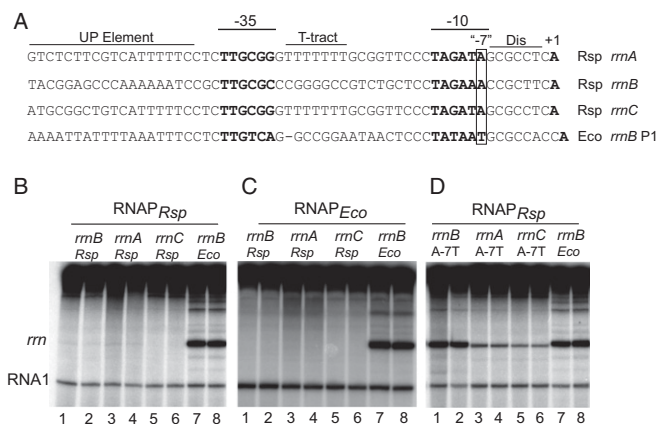


Fig. 1. Activity of *R. sphaeroides* rRNA promoters with either *R. sphaeroides* RNAP or *E. coli* RNAP. (A) Sequences of the three *R. sphaeroides* rRNA promoters, *rmA*, *rmB*, and *rmC*, from -57 to the transcription start site, $+1$, and the *E. coli* *rmB* P1 promoter. The discriminator (Dis), T-tract sequence, and UP element are indicated, in addition to the -10 and -35 RNAP recognition hexamers and the transcription start site, which are in bold. The last bp in the -10 element is referred to as the “ -7 ” position (boxed), although it is 8 or 9 bp rather than 7 bp upstream from the transcription start site due to the larger-than-consensus number of bp between the -10 element and the TSS in these promoters. (B) In vitro transcription of the indicated rRNA promoters from plasmid templates with *R. sphaeroides* RNAP in buffer containing 170 mM NaCl (SI Appendix, Expanded Materials and Methods). Duplicate lanes are shown for each promoter. The RNA I promoter and transcript are part of the plasmid replication control system (SI Appendix, Expanded Materials and Methods). (C) In vitro transcription of the indicated promoters as in B, but with *E. coli* RNAP. (D) In vitro transcription with *R. sphaeroides* RNAP as in B, but with the A-7T promoter variants of the three *R. sphaeroides* rRNA promoters or with the wild type *E. coli* *rmB* P1 promoter. A higher concentration of *R. sphaeroides* RNAP (50 nM) than *E. coli* RNAP (10 nM) was used to ensure that the absence of transcription from the *R. sphaeroides* rRNA promoters was not a result of limiting RNAP_{Rsp}. Robust, approximately equivalent transcription was observed from the *E. coli* *rmB* P1 promoter at 50 nM RNAP_{Rsp} and 10 nM RNAP_{Eco} (B–D).

The inactivity of the *R. sphaeroides* rRNA promoters was unexpected given that rRNA promoters are very active in moderate- to fast-growing bacterial cells (11, 21). In addition, the *R. sphaeroides* rRNA promoters contain several features characteristic of *E. coli* rRNA promoters (11), including a TTG sequence (nontemplate strand) at the upstream end of a putative -35 element, a TA sequence at the upstream end of a likely -10 element, an A+T-rich UP element-like sequence upstream of the -35 element (22), and a G+C-rich sequence immediately downstream from the -10 element, corresponding to the discriminator sequence in *E. coli* rRNA promoters (23) (Fig. 1A). The high A+T content of the UP element-like sequence and the 7-nt T-tract in the spacer region of *rmA* and *rmC* were especially striking given the $\sim 70\%$ G+C content of the *R. sphaeroides* genome (1).

In contrast to *E. coli* rRNA promoters (and most other $E\sigma^{70}$ -dependent *E. coli* promoters), each of the *R. sphaeroides* rRNA promoters contains an A rather than the highly conserved T at the last position in the -10 element (designated here as position -7 ; Fig. 1A). In fact, the predicted rRNA promoters from other sequenced *R. sphaeroides* strains also lack a T at -7 (SI Appendix, Fig. S3). The significance of the conserved T at -7 for $E\sigma^{70}$ -dependent promoter activity in *E. coli* was demonstrated long ago by genetic analyses and by structural studies showing that only the thymine, and not other bases at position -7 , is compatible with binding in a pocket in σ^{70} (20, 24–26). The σ -residues that contribute to the -7 pocket are conserved in the major RNAP_{Rsp}, consistent with the ability of RNAP_{Rsp} to

recognize *E. coli* promoters (SI Appendix, Fig. S4A). Also consistent with the absence of $-7T$ as a determinant of the low activity of the *R. sphaeroides* rRNA promoters in vitro, we found that a $-7T$ substitution increased transcription from each of the rRNA promoters with RNAP_{Rsp} (Fig. 1D), although the mutated *rmA*(A-7T) and *rmC*(A-7T) promoters were much weaker than *rmB*(A-7T) or *E. coli* *rrnB* P1. Taken together, these results suggested that *R. sphaeroides* rRNA promoters might require a transcription activator for function.

***R. sphaeroides* CarD Activates rRNA Promoters.** Previous studies have reported that the CarD protein from *M. tuberculosis*, *Mycobacterium smegmatis*, *T. thermophilus*, and *M. xanthus* stimulates rRNA transcription in vitro (12, 13, 27, 28). Among the proteobacteria, CarD is found in the α and δ , but not in the β and γ classes (i.e., not in *E. coli*) (13, 14). The *R. sphaeroides* CarD homolog (*rsp_2425*) is similar in size (169 amino acids), is 25 to 60% identical to CarD proteins from the other bacteria (SI Appendix, Fig. S5), and is essential (5), consistent with a role in one or more critical functions including rRNA transcription.

To test this idea, we purified *R. sphaeroides* CarD after over-expression in *E. coli* and measured its effects on *R. sphaeroides* rRNA promoters in vitro (Fig. 2A). CarD_{Rsp} activated transcription from the *R. sphaeroides* *rrnB* promoter at least 10-fold in vitro, with a half-maximal stimulatory effect (EC₅₀) at 85 nM (Fig. 2B). When added to RNAP_{Rsp}, CarD_{Rsp} also activated the *R. sphaeroides* *rmA* and *rmC* promoters, although transcription from *rmA* and *rmC* was weaker than from *rrnB*, and the fold activation by CarD_{Rsp} was smaller (Fig. 2C and D). These results are consistent with a previous report that the *R. sphaeroides* *rrnB* promoter was stronger than the *rmA* promoter in vivo based on measurements of promoter-*xyIE* fusions (11).

To determine whether the 7-nt T-tract found in the spacer region of *R. sphaeroides* *rmA* (and *rmC*, which is identical to *rmA*), but not in *rmB*, could account for the promoter activity difference, we constructed a derivative of *rmA* containing a replacement of the T-tract with the corresponding spacer sequence of *rmB*. Replacement of the T-tract resulted in a threefold increase in basal *rmA* promoter activity but did not alter the extent of activation by CarD (two- to threefold; Fig. 2 and SI Appendix, Fig. S6). Thus, the T-tract accounts, in part, for the reduced activities of *rmA* and *rmC* but does not account for the difference in activation by CarD (2- to 3-fold for *rmA* vs. 10- to 12-fold for *rmB*).

CarD_{Rsp} did not increase transcription from the plasmid-encoded RNA I promoter when added to RNAP_{Rsp} (Fig. 2A-C) or from *R. sphaeroides* *rrnB* using *E. coli* RNAP (Fig. 2C). The failure of CarD_{Rsp} to stimulate *E. coli* RNAP is consistent with previous reports that effects of CarD are species-specific because the interacting residues in the CarD RID domain and in the β -subunit of RNAP are not highly conserved (28, 29).

We note that a low level of *R. sphaeroides* *rrnB* transcript was detected in a previous study with *R. sphaeroides* RNAP (30). Based on the results reported here, we suggest that the observed activity may have resulted from the presence of small amounts of CarD in the RNAP used in the previous study. In fact, using an antibody raised against CarD_{Rsp} (see below), we detected a very low level of CarD in our purified *R. sphaeroides* RNAP (~0.01 mol CarD_{Rsp} per mol RNAP). In our in vitro transcription experiments, the concentration of CarD introduced from RNAP_{Rsp} was well below the EC₅₀ determined for CarD (85 nM) but perhaps sufficient to account for the previously reported transcription from *rrnB* (SI Appendix, Fig. S1C, lanes 7 and 8).

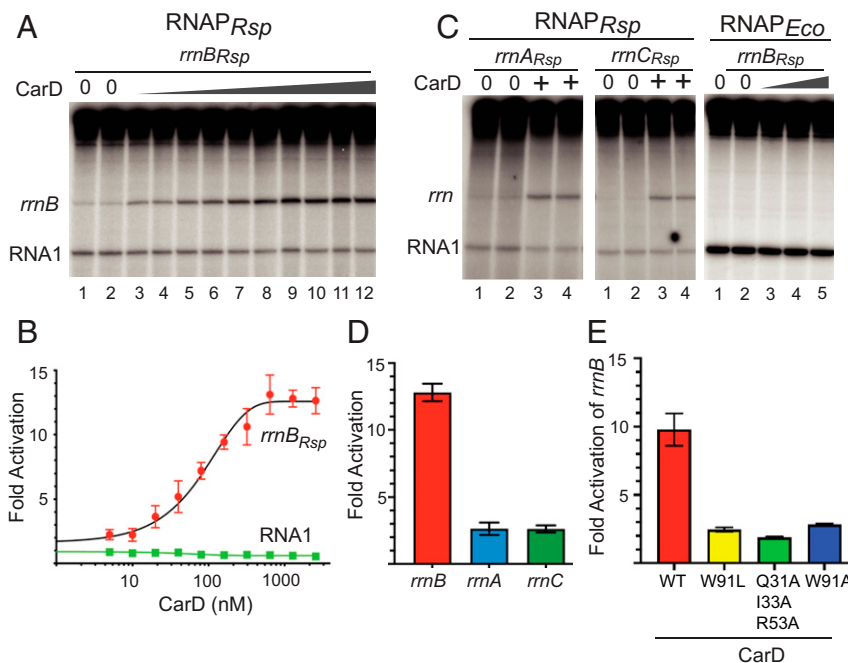


Fig. 2. Activities of the *R. sphaeroides* rRNA promoters in vitro with or without purified *R. sphaeroides* CarD. (A) In vitro transcription of the *R. sphaeroides* *rrnB* promoter with 20 nM *R. sphaeroides* RNAP with or without CarD_{Rsp} (wedge indicates CarD_{Rsp} range of 5 to 2,560 nM) in buffer with 170 mM NaCl. (B) Fold activation of the *R. sphaeroides* *rrnB* promoter by CarD_{Rsp} (with/without the indicated concentration of CarD_{Rsp}) from experiments like that in A. The RNA I transcript is from a plasmid-encoded promoter. Error bars indicate SD from $n = 3$ separate assays. (C) In vitro transcription of the *R. sphaeroides* *rmA* or *rmC* promoters with *R. sphaeroides* RNAP as in A with or without 1,280 nM CarD or of the *R. sphaeroides* *rrnB* promoter with 10 nM *E. coli* RNAP with or without 20, 40, or 80 nM CarD. (D) Fold activation of the *R. sphaeroides* *rmA*, *rrnB*, and *rmC* promoters by 1,280 nM CarD with SD from $n = 3$ assays for each promoter. (E) Fold activation of the *R. sphaeroides* *rrnB* promoter by RNAP_{Rsp} and 1,280 nM wild-type CarD or W91A, W91L, or Q31A/I33A/R53A triple-mutant CarD (SD from $n = 3$ assays). Wild-type CarD used in B and E was from different protein preparations, so differences in specific activities could account for the slight differences in fold activation.

To address whether the mechanism of activation by CarD_{Rsp} is similar to that by CarD_{Mtb}, we created substitutions in CarD_{Rsp} at positions analogous to those previously shown to affect the DNA interaction or RNAP interaction domain (RID) activities of CarD_{Mtb} (13, 14). CarD_{Mtb} interacts with DNA at the upstream edge of the -10 hexamer using residue W85 to help wedge open the -10 hexamer. Alignment of the amino acid sequences from *Rhodobacter*, *Mycobacterium*, *Thermus*, *Myxococcus*, and *Caulobacter* CarD homologs indicated that CarD_{Rsp}-W91 corresponds to CarD_{Mtb}-W85 (14, 31) (SI Appendix, Fig. S5). Substitutions for CarD_{Rsp}-W91 (CarD_{Rsp}-W91L or -W91A) greatly reduced activation of *R. sphaeroides* *rmB* transcription, decreasing the 10-fold effect observed with wild-type CarD_{Rsp} to only ~2.5-fold (Fig. 2E and SI Appendix, Fig. S5), a partial defect similar to that observed for CarD_{Mtb} W85A and CarD_{Th} W86A (14). Conserved basic residues in CarD also interact with the DNA near W86 in the *T. thermophilus* CarD-RNAP-promoter DNA complex structure (13), suggesting that the partial activity of the W91A or W91L CarD_{Rsp} proteins can be attributed to DNA interactions that are weakened but not eliminated, rather than to general folding defects. A CarD_{Rsp} variant with three substitutions, Q31A, I33A, R53A, corresponding to *T. thermophilus* CarD RID domain residues R25, I27, and R47, activated *rmB*_{Rsp} transcription only ~twofold (Fig. 2E and SI Appendix, Fig. S5). We tentatively conclude that CarD_{Rsp} uses a mechanism similar to that of previously characterized CarD proteins.

CarD Directly Activates Other *R. sphaeroides* Promoters. Depletion of CarD from *M. smegmatis* and *M. tuberculosis* in vivo (12, 18), or deletion of the *C. crescentus* homolog (19), suggested that CarD affects gene expression broadly, although the approaches did not distinguish direct from indirect effects. CarD colocalized with *M. smegmatis* RNAP in ChIP-seq experiments at all promoters recognized by the primary σ -factor in vivo (14, 32). Two *M. tuberculosis* promoters, the AP3 rRNA promoter and the VapB promoter, were also shown to be activated by CarD in vitro (16).

To evaluate whether CarD has a more general role in *R. sphaeroides* gene expression, we tested its direct effects on transcription in vitro from a set of previously uncharacterized *R. sphaeroides* promoters. Sixteen additional *R. sphaeroides* promoters were chosen based on several criteria: genome-wide ChIP signals for σ^{93} from an *R. sphaeroides* ChIP-seq dataset (3), proximity to mapped *R. sphaeroides* transcription start sites (TSSs) (33), and sequence similarity to elements of an *E. coli* σ^{70} promoter consensus (25). We note that 13 of the 16 non-rRNA promoters lacked -7T (SI Appendix, Fig. S7), even though they were not chosen based on that criterion. PCR-derived fragments containing sequences from ~150 bp upstream to 30 to 50 bp downstream of the proposed TSSs were cloned into pRLG770, the same plasmid described above for measuring transcription from rRNA promoters, and promoter activities were determined with and without 1,280 nM purified CarD_{Rsp}.

The sizes of the transcripts produced in these assays relative to standards of known length confirmed the predicted locations of the promoters (Fig. 3 and SI Appendix, Fig. S7). As with the rRNA promoters, CarD_{Rsp} increased the activities of 12 of the 16 non-rRNA promoters. The 12 non-rRNA promoters that were activated by CarD_{Rsp} included two for ribosomal protein operons (*rpsM* and *rpsL*), two for tRNAs (tRNA^{Trp} and tRNA^{Val}), *rpoZ* (ω -subunit of RNAP), *tufA* (translation factor EF-Tu), *prrA* (transcription factor PrrA), *rho* (transcription termination factor Rho), *ilvE1* (a putative aminotransferase), *acpP* (acyl carrier protein), *comL* (branched-chain amino acid BamD subunit), and *atpH* (ATP synthase F1 δ -subunit; Fig. 3A). For the promoters whose basal activities were high enough to quantify, activation by CarD_{Rsp} ranged from two- to almost sixfold (Fig. 3B).

Four of the 16 non-rRNA promoters, including *ssrA* (tmRNA), *putR* (a putative transcription factor), *rsp_3110* (a putative GST), and *rsp_1486* (tetR family homolog), were unaffected by CarD_{Rsp} (Fig. 3C). CarD_{Rsp} increased transcription less than 1.4-fold from three promoters whose basal activities were high enough to quantify the fold effect (Fig. 3D). In addition, three of the four *E. coli* promoters tested with RNAP_{Rsp} were unaffected by CarD_{Rsp} (*lacUV5*, *T7A1lacO34*, RNA I; SI Appendix, Fig. S2). Thus, positive regulation by CarD_{Rsp} is promoter-specific and not limited to rRNA promoters, and not all promoters are dependent on CarD_{Rsp} for activity.

Promoter Features that Correlate with Increased Transcription by CarD_{Rsp}. An image depicting the degree of sequence conservation at each promoter position in the 15 *R. sphaeroides* promoters activated by CarD_{Rsp} (the 12 above plus the 3 rRNA promoters) is shown in Fig. 3E, and promoter sequences are shown in SI Appendix, Fig. S7. None of the 15 promoters activated by CarD_{Rsp} contained a T at -7. Each of the other three bases at this position (A, G, and C) was represented in the activated promoter population, suggesting that the absence of -7T rather than the presence of another specific base was the relevant determinant for activation by CarD_{Rsp}. In contrast, three of the four *R. sphaeroides* promoters unaffected by CarD_{Rsp} contained a T at -7 (Fig. 3C and SI Appendix, Fig. S7).

To address whether sequence features in addition to the absence of -7T might also contribute to activation by CarD_{Rsp}, we constructed *R. sphaeroides* *rmB* promoter variants with increased or decreased similarity to the *E. coli* consensus for the UP element, -35 hexamer, extended -10 element, -10 hexamer, discriminator region, and -10/-35 spacer length (35) (Fig. 4A). Transcription activities of the variant promoters were compared to the wild-type promoter in the absence and presence of 1,280 nM CarD_{Rsp} in vitro (Fig. 4B).

We did not detect changes in basal promoter activity resulting from replacement of the *rmB* UP element region with either a non-UP element-like sequence or with the *E. coli* UP element consensus because transcription was too weak for quantification (Fig. 4B and C). However, in the presence of CarD_{Rsp}, it was apparent that either the native UP element sequence or the consensus UP element increased transcription (Fig. 4B and D), consistent with conservation of the DNA binding residues in the *R. sphaeroides* and *E. coli* α -subunit C-terminal domains (22) (SI Appendix, Fig. S4B).

Substitutions toward consensus for recognition by RNAP in the -35 hexamer, -10 hexamer, and discriminator region increased basal *R. sphaeroides* *rmB* promoter activity (Fig. 4B and C, compare lane 1 with lanes 7, 13, 16, 17, 19, and 21). Not surprisingly, since the wild-type *R. sphaeroides* *rmB* promoter already has consensus -10/-35 spacing (17 bp), changing the spacing to either 16 bp or 18 bp did not increase basal promoter activity (Fig. 4B and C, compare lane 2 with lane 10 or 12). Each of these *R. sphaeroides* *rmB* promoter variants was activated by CarD_{Rsp} (Fig. 4B and D), suggesting that they altered transcription without fully bypassing the step(s) affected by CarD_{Rsp}. In contrast, the improved extended -10 element and the A-7T mutations increased basal promoter activity to a much greater extent than any of the other substitutions (Fig. 4B and C, compare lane 1 with lanes 13 and 17), and these promoter variants were no longer activated at all by CarD_{Rsp} (Fig. 4B and C). We conclude that the absence of -7T or an extended -10 element can create a barrier to transcription initiation, and that CarD_{Rsp} or mutations to consensus or both can alleviate this barrier.

Context Dependence of Promoter Sequences that Bypass the Effect of CarD on *rmB*. We next addressed whether the -7T or extended -10 substitutions would bypass the requirement for CarD in three other contexts, the *rpsM*, *rpoZ*, and *rmA* promoters

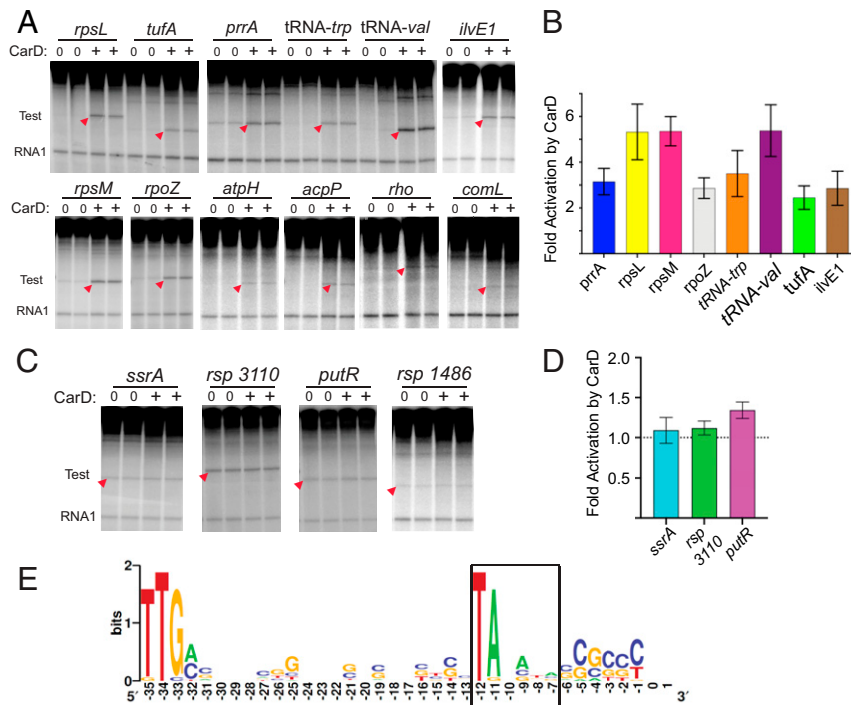


Fig. 3. Activation of *R. sphaeroides* non-rRNA promoters in vitro with Card_{Rsp} . (A) Transcription in vitro with RNAP_{Rsp} (20 nM) and Card_{Rsp} (1,280 nM) in buffer with 100 mM NaCl (SI Appendix, Expanded Materials and Methods). Duplicate lanes with or without Card_{Rsp} are shown for each promoter. Transcripts derived from test promoters are indicated with red arrowheads. Gel images also show position of RNA 1 transcript from the plasmid promoter. SI Appendix, Fig. S7, shows promoter sequences. (B) Fold activation by Card_{Rsp} (+ CardD /no CardD) for promoters shown in A whose activities were high enough to quantify accurately. SDs are shown from $n = 3$ to 6 assays except for *ilvE1* (range from two assays). (C) Transcription in vitro of four *R. sphaeroides* promoters whose activities were unaffected by Card_{Rsp} under the same conditions as in B. SI Appendix, Fig. S7, shows promoter sequences. (D) Fold activation of promoters in C with or without Card_{Rsp} . The SDs shown are from $n = 5$ assays for *ssrA*, and the ranges are shown from $n = 2$ assays for *rsp_3110* and *putR*. (E) WebLogo (34) representation of consensus sequence for 15 promoters whose transcription was activated by Card_{Rsp} in vitro (3 rRNA promoters and the 12 promoters shown in A). The -10 hexamer (-12 to -7) is boxed.

(Fig. 5A). Both substitutions increased the basal activity of the *rpsM* promoter substantially, and although the substitutions reduced the extent of activation by Card_{Rsp} from \sim fivefold to \sim twofold (Fig. 5B and C), they did not completely eliminate the effect of Card_{Rsp} . As with the *rpsM* and *rmB* promoters, both substitutions increased the basal activities of the *rmA* and *rpoZ* promoters. However, unlike *rpsM* and *rmB*, the *rpoZ* and *rmA* mutant promoters containing the extended -10 element or $-7T$ substitutions were still activated by Card_{Rsp} almost to the same extent as with the wild-type promoters (Fig. 4B–D).

Thus, there are promoters lacking $-7T$ (i.e., *rsp_3110*, above) that are not activated by Card_{Rsp} , and there are mutant promoters containing $-7T$ that are still activated, at least to some extent, by Card_{Rsp} . These “context effects” indicate that Card_{Rsp} can compensate for rate-determining steps in promoter complex formation that derive from other promoter sequence features in addition to or instead of $-7T$. Such context effects have long been recognized in studies of effects of promoter substitutions on transcription (24, 36). The mechanistic explanations for the context effects reported here are described more extensively in the Discussion.

The Majority of *R. sphaeroides* Promoters Lack the T at the Last Position in the -10 Element. To identify likely -10 elements used by *R. sphaeroides* RNAP genome-wide, we analyzed available *R. sphaeroides* transcription start site (TSS) data obtained from cells in exponential growth where most transcription is likely by $\text{E}\sigma^{93}$, the major holoenzyme (33). This analysis was followed by a bioinformatic search for a TA sequence corresponding to the highly conserved TA at positions -12 and -11 in *E. coli* -10 hexamers, located at an appropriate distance

upstream of each TSS (Dataset S1). The A at position -11 is almost universally conserved in *E. coli* promoters utilizing the major σ -factor (25) and is essential for promoter activity with RNAP_{Eco} (24, 37). Residues involved in recognition of A-11 are conserved in the *R. sphaeroides* primary sigma factor (σ^{93} ; SI Appendix, Fig. S4A). We confirmed that $-11A$ is essential for activity of the *R. sphaeroides* *rmB* promoter with RNAP_{Rsp} by showing that substitutions for $-11A$ eliminated transcription (SI Appendix, Fig. S5C).

In Fig. 6, we analyze the promoters in *R. sphaeroides* that contain $-7T$ genome-wide, based on the presence of the TA motif appropriately positioned upstream of the experimentally defined TSSs, as described above. In support of the interpretation that this promoter collection consists primarily of σ^{93} -dependent promoters, the genome of *R. sphaeroides* does not contain a gene coding for a σ^S homolog (11), and other holoenzymes containing alternative σ -factors (at least in *E. coli*) do not contain a TA motif at the upstream end of their -10 elements. The Bioinformatic Analysis section in SI Appendix, Expanded Materials and Methods, contains further details about the promoters included in the genome-wide promoter analysis.

In contrast to *E. coli* promoters, where 95% contain a T at -7 , only 43% of *R. sphaeroides* promoters contained $-7T$ (Fig. 6). As with the *R. sphaeroides* promoters lacking $-7T$ that we analyzed in vitro, each of the other three bases at position -7 (A, G, and C) was represented in the genome-wide collection of *R. sphaeroides* promoters lacking $-7T$, indicating the importance of the absence of $-7T$ rather than the presence of another specific base (Dataset S1 and Bioinformatic Analysis section in SI Appendix, Expanded Materials and Methods).

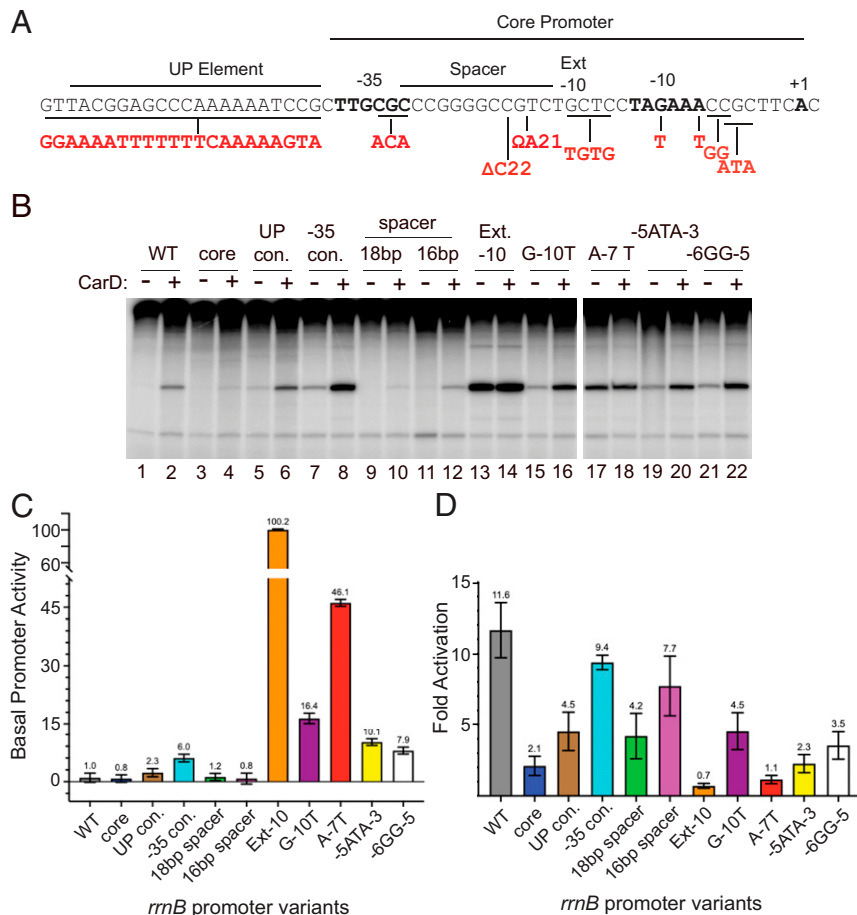


Fig. 4. Effects of individual *R. sphaeroides* *rrnB* promoter mutations on activation by CarD. (A) Promoter recognition elements (UP element, -35 hexamer, spacer, extended -10, -10 hexamer, and core promoter) are indicated above the *R. sphaeroides* *rrnB* promoter sequence. Mutations to create promoter variants are indicated in red (SI Appendix, Tables S2 and S3). Each mutation creates the *E. coli* σ^{70} consensus element sequence at the indicated position except the spacer insertion or deletion for which the wild-type *R. sphaeroides* promoter has the *E. coli* 17-bp consensus spacing. Triple-mutation -5 ATA -3 alters the base composition in the discriminator region. (B) In vitro transcription of each promoter mutant with RNAP_{Rsp} (20 nM) with or without CarD (1,280 nM) in buffer with 170 mM NaCl. (C) Basal activities of mutant *rrnB* promoters relative to transcription from the wild-type promoter, with SDs from $n = 3$ to 6 assays for each promoter. (D). Fold activation of each promoter by CarD (+CarD/no CarD). SDs are from $n = 3$ to 6 assays for each promoter.

We also used available TSS data to analyze the predicted -10 elements from a selection of other bacterial species, including the α -proteobacteria *C. crescentus* (38) and *Zymomonas mobilis* (39), the γ -proteobacterium *E. coli* (40), the firmicute *Bacillus subtilis* (41), the actinobacteria *Streptomyces coelicolor* and *M. smegmatis* (42, 43), and the β -proteobacterium *Burkholderia cenocepacia* (44). This analysis showed that ~39% of the predicted promoters in *C. crescentus* and 30% in *Z. mobilis* contain -7T, whereas 95%, 99%, 90%, 98%, and 98% of the predicted promoters from *E. coli*, *B. subtilis*, *M. smegmatis*, *S. coelicolor*, and *B. cenocepacia*, respectively, contain -7T (Fig. 6). Thus, although the number of species that we analyzed is limited, our results strongly suggest that the -7T is much less conserved in α -proteobacterial promoters than in promoters from other bacterial phyla or classes.

Because the presence of an extended -10 element eliminated activation of the *R. sphaeroides* *rrnB* promoter by CarD_{Rsp} (Fig. 4), we also analyzed the percentage of promoters with this element in the genomes of *R. sphaeroides* and the other bacterial species analyzed above (Fig. 6). Unlike -7T, the extended -10 motif was found in about the same percentage of promoters from both α -proteobacteria and non- α -proteobacteria. The number of promoters with an extended -10 element was much smaller than

the percentage with a -7T in most of the species analyzed (Fig. 6).

Although an extended -10 element compensated for the absence of -7T in an *rrnB* mutant promoter variant, and this promoter was no longer activated by CarD_{Rsp} (Fig. 4), an extended -10 element did not correlate well with activation by CarD_{Rsp} in the 19 native promoters tested in vitro, in stark contrast to -7T (Fig. 3 and SI Appendix, Fig. S7). Together with our results that the majority of putative promoters in α -proteobacteria lack an extended -10 element (Fig. 6), that the presence of an extended -10 element in six other native *R. sphaeroides* promoters tested in vitro did not correlate with activation by CarD_{Rsp} (SI Appendix, Fig. S7), and that 85% of *R. sphaeroides* promoters lack an extended -10 element, we conclude that the absence of an extended -10 element is not predictive of activation by CarD_{Rsp}.

Levels of CarD_{Rsp} Change In Vivo. One prediction of our data is that promoters lacking -7T may have evolved in α -proteobacteria to be regulated by changing concentrations of CarD. To test whether CarD levels change in vivo, we used purified CarD_{Rsp} to develop a CarD-specific antibody (SI Appendix, Expanded Materials and Methods), and CarD_{Rsp} levels were analyzed by

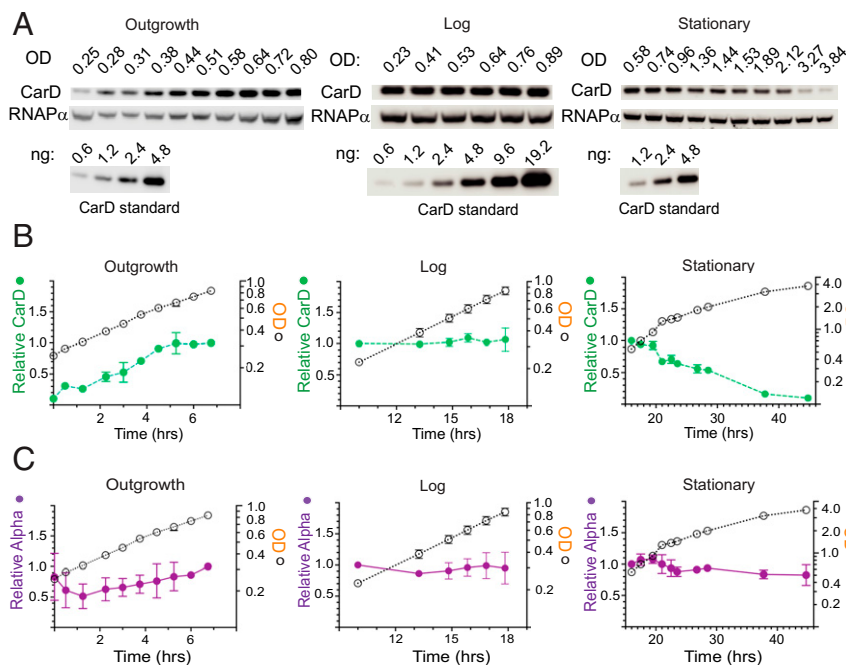


Fig. 7. CarD_{Rsp} levels at different phases of growth. (A) Western blots were performed from *R. sphaeroides* aerobic cultures grown at 30 °C, diluted from stationary phase into fresh minimal medium at time 0, and sampled at the indicated times. Separate experiments were conducted for each growth phase (outgrowth, log, and stationary; detailed in *SI Appendix, Expanded Materials and Methods*). For each sample, the OD₆₀₀ was measured, CarD levels were determined by Western blotting with anti-CarD_{Rsp} antibody, and RNAP_{Rsp} levels were determined with an anti- α -subunit antibody. Purified CarD standards were analyzed in parallel for quantitation of CarD levels. (B) Relative CarD levels and OD₆₀₀ values for cultures sampled during outgrowth, log, and stationary phase. (C) Relative RNAP_{Rsp} α -subunit levels in the same samples analyzed for CarD levels. Further details are provided in *SI Appendix, Expanded Materials and Methods*. Values for CarD_{Rsp}, RNAP_{Rsp} α -subunit, and OD₆₀₀ were plotted on the same scale by normalizing to the CarD level at an overlapping OD₆₀₀. Error ranges were determined from two experiments for each.

(20, 26, 49). This interaction with σ occurs subsequent to the formation of an initial partially opened complex containing a 5-nt transcription bubble (47). The $-7T$ interaction with σ facilitates further DNA strand separation, displacement of the N-terminal domain of σ ($\sigma_{1.1}$) from the main DNA channel, and stabilization of the open complex to yield a 13-nt bubble (47, 48). The absence of the $-7T$ interaction in the majority of *R. sphaeroides* promoters would be expected to reduce the rate of formation and stability of the open complex, creating the need for a transcription factor to enhance the rate-limiting kinetic step(s) at these promoters and thereby enhance promoter activity.

CarD Facilitates a Step in the Mechanism of Promoter Opening that Is Affected by the RNAP Interaction with Promoter Position $-7T$. We identified a strong correlation between the absence of $-7T$ and activation of native *R. sphaeroides* promoters by the transcription factor CarD. CarD increased the activity of 15 of the 16 promoters that lacked $-7T$, whereas it had no effect on 3 of the 4 promoters that contained $-7T$ (Fig. 3 and *SI Appendix, Fig. S7*). *R. sphaeroides* CarD shares significant similarity with other CarD homologs from different phyla (e.g., *Mycobacteria* and *Thermus*). Transcription activation by CarD_{Rsp} required a highly conserved tryptophan residue (W91) in the predicted DNA-binding domain, corresponding to W85 in *Mycobacteria* and W86 in *Thermus*, suggesting that CarD_{Rsp} has mechanistic features in common with these previously characterized CarD proteins (Fig. 2) (13, 14, 27). Previous structural studies indicated that CarD_{Th} interacts sequence-nonspecifically with promoter DNA at the junction of double-stranded and single-stranded DNA at the upstream end of the -10 hexamer (12–16, 27), adjacent to position -11 , where strand separation initiates. Kinetic studies with the *Mycobacterial* and *Thermus* CarDs indicated that they affect isomerization step(s) during open complex formation,

stimulating formation of a partially melted intermediate and stabilizing open complexes (13, 16, 27, 50).

Thus, CarD and $-7T$ both act on isomerization steps involving extension of a partially open to a fully open transcription bubble and stabilization of the open complex, consistent with the ability of CarD_{Rsp} to compensate, at least in part, for the absence of $-7T$ in many promoters.

Context Affects Promoter Activity. Our *in vitro* experiments established a strong correlation between promoters lacking $-7T$ and activation by CarD_{Rsp}. The high percentage of *R. sphaeroides* promoters genome-wide lacking $-7T$ is consistent with the relative ease with which we identified specific promoters activated directly by CarD_{Rsp}, even though the promoters were not chosen for study because they lacked $-7T$. However, as described below, the absence of $-7T$ is not a perfect predictor of activation by CarD in all promoter contexts.

As a result of the multistep nature of promoter complex formation and the influence of multiple RNAP interactions with other sequences in the promoter, substitutions at the same position in different promoters have long been known to exhibit different effects on transcription output (context effects; e.g., refs. 24, 36). Promoter interactions with RNAP containing the primary σ -factor involve not only the specific base interactions at the critical conserved positions in the consensus elements, but also phosphate backbone interactions within and outside of the consensus hexamers and base-specific interactions in regions of the promoter that may only be present in a subset of promoters. These interactions nevertheless collectively contribute to the rate of open complex formation and can lead to differences in the rate-determining steps that limit promoter activity at different promoters (48).

Transcription can only be activated by a factor that acts on a rate-determining kinetic step. In some contexts, an interaction involving a particular promoter position may be the major determinant of the step affected by the activator, but in other contexts, another promoter interaction, or more than one interaction, may limit promoter activity, and the activator may have little or no effect on transcriptional output (35, 46). The one promoter in our cohort of 16 native *R. sphaeroides* promoters examined in vitro that lacked $-7T$ but was not activated by CarD_{Rsp} is an example of such a context effect. This promoter, *rsp_3110*, contains an extended -10 element that we suggest bypasses the requirement for CarD_{Rsp} by facilitating strand opening, just as a mutation to $-7T$ in some promoter contexts (e.g., *rpoZ* and *rmA*) does not fully eliminate activation by CarD_{Rsp}.

Taken together, our observations suggest that the absence of $-7T$ is a major contributor to the dependence of many *R. sphaeroides* promoters on an activator for transcription. CarD_{Rsp} may not be the transcription factor responsible for regulating every promoter lacking $-7T$ that requires an activator, nor is the presence/absence of $-7T$ likely to be the only determinant of a response to the regulator(s) in all promoter contexts. Thus, prediction of the promoters activated by CarD_{Rsp} genome-wide, as well as prediction of the magnitude of the effect of CarD_{Rsp} on a specific promoter, will be more complex than simply identifying promoters lacking $-7T$.

The Absence of a Conserved RNAP Recognition Feature in a Large Set of Promoters Creates a Regulon Controlled by a Transcription Factor.

The unexpectedly low percentage of *R. sphaeroides* promoters containing $-7T$ (43%) stands in stark contrast to the very high percentage (90 to 99%) containing $-7T$ in other bacterial phyla (Fig. 6). Together, the strong correlation between the absence of $-7T$ and activation by CarD_{Rsp} in our in vitro experiments (Figs. 2 and 3 and *SI Appendix, Fig. S7*), along with the observations that CarD is essential in *R. sphaeroides* (5) and that CarD concentrations vary with growth phase (Fig. 7), suggest that CarD plays a role in activating many promoters lacking $-7T$. Most bacterial phyla (e.g., β - and γ -Proteobacteria, Firmicutes, Actinobacteria, Thermus-Deinococcus) have promoters containing $-7T$. How such a large subset of promoters lacking $-7T$ evolved in α -proteobacteria whereas diverse bacterial phyla contain promoters with $-7T$ remains a question for future exploration. We suggest that regulons in other bacterial phyla that derive from utilization of a transcription factor that compensates for the absence of a crucial base in the core promoter, not necessarily $-7T$, could be a common mechanism contributing to regulation.

The Role of CarD and $-7T$ in Other α -Proteobacteria and Other Bacterial Phyla.

Our bioinformatic analysis of published genome-scale TSS data indicated that the absence of $-7T$ is a feature of a majority of promoters in *R. sphaeroides* and the two other α -proteobacterial species for which we were able to predict -10 element sequences genome-wide. Consensus sequences for promoters in the α -proteobacteria *C. crescentus* and *Sinorhizobium meliloti* have been proposed (38, 51–53). These sequence predictions included a -10 element consensus element consisting only of positions matching bases near the upstream end of the *E. coli* -10 element. Furthermore, the *rmA* promoter from the δ -proteobacterium *M. xanthus* lacks $-7T$ and is activated by CarD (14). Together, these studies are consistent with our proposal that CarD activates transcription in many other species. However, the previous studies did not correlate the absence of $-7T$ with activation by CarD. Deletion of *C. crescentus* CarD (CdnL) was reported to affect transcription from many promoters in vivo, but it was proposed that the number of promoters regulated directly by CarD was small and that most effects of CarD on transcription were likely to be indirect (19).

In contrast, our data suggest that α -proteobacterial CarD is likely to affect transcription initiation from many promoters directly.

In Mycobacterial systems, where CarD has been most thoroughly investigated in vitro, most promoters including the rRNA promoter AP3 contain $-7T$, and thus a role for CarD in compensating for the $-7T$ interaction with σ was not proposed. Other interactions must be rate-limiting in Mycobacterial promoters as a result of DNA sequence differences in the promoter and/or amino acid sequence variation in RNAP among *Mycobacteria*, *Thermus*, and proteobacteria (13, 14, 27, 54). The effects of CarD on the *M. tuberculosis* rRNA promoter are also amplified by a second transcription factor, RbpA, not found in *R. sphaeroides* (16, 50).

Implications for Regulation of Synthesis of the *R. sphaeroides* Translation Apparatus.

The *R. sphaeroides* promoters activated by CarD include a variety of housekeeping genes, including several involved in synthesis and assembly of the translation apparatus (rRNAs, ribosomal proteins, tRNAs, transcription factors; Fig. 3 and *SI Appendix, Fig. S7*). Consistent with its critical role in translation, *carD* is an essential gene in *R. sphaeroides* (5). The decrease in CarD levels in stationary phase may contribute to the decrease in expression of rRNA and other translation-related gene products at this stage in growth.

Additional transcription factors are also likely to contribute to rRNA transcription regulation in *R. sphaeroides*. In *E. coli*, the stringent response factors DksA and ppGpp, like CarD in *R. sphaeroides*, interact directly with RNAP and affect specific promoters because of their specific kinetic properties, in contrast to classical transcription factors whose promoter specificity results from DNA binding sites adjacent to individual promoters (8, 35, 46, 50). *R. sphaeroides* DksA was previously shown to regulate transcription by *E. coli* RNAP in vitro (55), suggesting that *R. sphaeroides* uses ppGpp/DksA as well as CarD to regulate rRNA transcription. In *E. coli*, the Fis protein activates rRNA promoters by binding to sites upstream of their -35 elements, contributing to their regulation (6). *R. sphaeroides* rRNA promoters could also respond to transcription factor(s) yet to be identified that bind upstream of the core promoter, since deletions upstream of the -35 element reduce rRNA promoter activity in vivo (11). The integration of effects of CarD and other regulators of *R. sphaeroides* rRNA transcription will be subjects of a separate study.

Materials and Methods

Further details for each section are provided in *SI Appendix, Expanded Materials and Methods*.

Bacterial Strains. *E. coli* and *R. sphaeroides* strains are listed in *SI Appendix, Table S1*.

Bacterial Growth. *R. sphaeroides* was grown aerobically in a succinate-based minimal medium (56).

Purification of *R. sphaeroides* RNAP. An *R. sphaeroides* 2.4.1 derivative for purification of RNAP was constructed by creating a His10 tag fused to the C terminus of the β' subunit and introducing it into the *R. sphaeroides* chromosome using the nonreplicative plasmid pk18mobsacB (57) and a two-step recombination method. RNAP holoenzyme containing σ^{93} was purified by Ni-affinity chromatography from aerobically grown cells.

Construction and Purification of *R. sphaeroides* Sumo-Tagged CarD. A codon-optimized *carD* gene was inserted into pETSUMO for purification of an N-terminally tagged His10-SUMO-tagged CarD. Wild type and variant CarD proteins were overexpressed and purified from *E. coli* BL21 (DE3) pLys5 cells (14).

In Vitro Transcription. Test promoter fragments were PCR-amplified from *R. sphaeroides* 2.4.1 chromosomal DNA and inserted into pRLG770 (6), and promoter variants were constructed using Multi Site Lightning Quick Change

Mutagenesis (Agilent) using primers listed in *SI Appendix, Table S2*. Transcription was performed with either *R. sphaeroides* RNAP holoenzyme containing the major σ -factor σ^{93} or *E. coli* σ^{70} as indicated.

Western Blot Analysis of CarD. CarD concentrations in aerobically grown *R. sphaeroides* cells were determined throughout a growth curve using a polyclonal antibody raised against CarD without a SUMO tag. Polyclonal antibody raised against the α -subunit of *R. sphaeroides* RNAP was used for comparison.

Bioinformatic Analysis of Promoter Elements. We used available transcription start site (TSS) information in the literature to determine the likely \sim 10 elements of promoters from the bacterial species indicated in Fig. 6, first identifying the bases most likely corresponding to $-11A$ and $-12T$ and then the DNA sequences most likely corresponding to $-7T$ and the extended -10 element, as described in detail in *SI Appendix, Expanded Materials and*

Methods. The TSSs for *R. sphaeroides* were determined as previously described (33).

Data Availability. All study data are included in the article and supporting information.

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